C	ase 4.00-cv-01669-Cvv Document i Flied 04/06/2006 Page i of 6
•	
	COMPLAINT BY A PRISONER UNDER THE CIVIL RIGHTS ACT, 42 U.S.C §§ 1983
1	COMPLAINT BY A PRISONER ONDER THE COMPLA
2	COMPLAINT BY A PRISONER UNDER THE CIVIL IN FILED APR - 8 2008
3	Name Arnold Anthony
,	(First) (Initial) RICHARD W. WIENING
4	NORTHERN DISTRICT OF CALIFORNIA
5	Prisoner Number H-22763
6	Institutional Address <u>Salinas Valley State Prison Procesor</u> 1050
7	Soladadacalifornia, 93960
8	
.	UNITED STATES DISTRICT COURT
9	NORTHERN DISTRICT OF CALIFORNIA
0	Anthony Arnold and Anthony Arnold Anthony Arnold
1	Anthony Arnold (Enter the full name of plaintiff in this action.) C08 Case No. (To be provided by the clerk of court)
2	VS. (To be provided by the clerk of court)
- 1	M.S. Evans, Warden COMPLAINT UNDER THE
	G.A. Neotti, Chief Deputy Warden) CIVIL RIGHTS ACT, 42 U.S.C §§ 1983
	A. Hedgpeth, Chief Deputy Warden.
6	D.M. Mantel, Captain, A. Facility (Enter the full name of the defendant(s) in this action))
7	(Enter the full name of the defendance)
8	[All questions on this complaint form must be answered in order for your action to proceed]
.	
9	1. Exhaustion of Administrative remedies before your claim can go [Note: You must exhaust your administrative remedies before your claim can go
0	[Note: You must exhaust your dominated claims.]
1	forward. The court will dismiss any unexhausted claims.]
2	A. Place of present confinement Salinas Valley State Prison
	B. Is there a grievance procedure in this institution?
3	VEC \ NO()
4	C. Did you present the facts in your complaint for review through the grievance
5	사람이다. 그 그들은 사용 하다가 하는 것은 사람들은 학생들은 사람들이 가장 사람들은 중요를 받았다. 그 나가 되었다.
6	procedure?
7	YES() NO()
8	D. If your answer is YES, list the appeal number and the date and result of the
,	경기도 있는 것은 경기를 보면 되었다. 그는 이번 경기를 보면 되었다. 그는 것은 경기를 보면 되었다. 그는 것은
	COMPLAINT
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		1	appeal at each level of review. If you did not pursue a certain level of appeal,
		2	explain why.
		3	1. Informal appeal <u>INSTITUTION BYPASSED INFORMAL LEVEL</u>
		4	
•		5	2. First
	. (5	formal level INSTITUTION BYPASSED TO 2nd LEVEL.
	. 7	1	
`` V*	.8		
	9		3. Second formal level S.V.S.P-A-06-02615 APPEAL DENIED AUGUST
•	10		@+.28, 2007:
•	11		4 Third
	12		formal level S.V.S.P. 06-02615 APPEAL DENIED ON SEPTEMBER
]	13		11, 2007. (see Exhibit (A) Attached Exhausted 602 CDCR, APPEAL.)
İ	4		
1	5	E.	Is the last level to which you appealed the highest level of appeal available to
.1	6		you?
ı	7		YES() NO()
18	8	F.	If you did not present your claim for review through the grievance procedure,
. 19	,	explain why	NOT APPLICABLE
20			
21	1		
22		U. Parties	
23		Α.	Write your name and your present address. Do the same for additional plaintiffs,
24			if any.
25		Anthony	Arnold #H-22763 Salinas Valley State Prison
26		·	x 1050 Soledad, California 93960-1050
27	-		
28		В	Write the full name of each defendant, his or her official position, and his or her
	C	OMPLAINT	
	ġ.		물속 한다면 하는 사람이 살아들다면 하다 하면 다른 사람들이 다른 사람이 나는 나를

Case 4:08-cv-01889-CW Document 1 Filed 04/08/2008 Page 2 of 6

Case 4:08-cv-01889-CW	Document 1 Filed 04/08/2008	Page 3 of 6
	2000	. ago o o. o

	. 1	place of employment.
	2	M.S. EVANS, WARDEN. G.A. NEOTTI, CHIEF DEPUTY WARDEN
	3	A. HEDGPETH, CHIEF DEPUTY WARDEN. D.M. MANTEL, CAPTAIN.A,
	4	FACILITY. SALINAS VALLEY STATE PRISON, P.O. BOX 1050:
	5	31625 HiGHWAY 101, SOLEDAD CALIFORNIA 93960-1050
	6	
	7	Statement of Claim
	8	State here as briefly as possible the facts of your case. Be sure to describe how each
	9	defendant is involved and to include dates, when possible. Do not give any legal arguments or
1	10	cite any cases or statutes. If you have more than one claim, each claim should be set forth in a
1	1	separate numbered paragraph.
1	2	The plaintiff is being subjected to continuose violations
1	3 .	of the due process clause by way of state evidentiary rules
1	4 _	within prison authority that resulted in a denial of
1:	5 _	fundemental fairness. Reasons of a denial herein numerous
16	5 _	evidentiary errors such that the totality of circumstances
17	, _	constituted a deprivation of substantive "Due Process"
18		procedural guidelines. The Warden, (2) Deputy Warden's, and
19		a Facility Captain, who deal directly with causing Pla-
20		intiff, sadistic suffering with a malicious disreguard to
21	_	the unmistakable manditory charecter's of Due Process.
22		Requiring that certain procedures "shall, Will, or Must
23		be employed.
24	_	
25	IV	Relief
26		Your complaint cannot go forward unless you request specific relief. State briefly exactly
27	wh	at you want the court to do for you. Make no legal arguments; cite no cases or statutes.
28		1.) Order injunctive relief enjoining defendants. "
	СО	MPLAINT

Case 4:08-cv-01889-CW Document 1 Filed 04/08/2008 Page 4 of 6

Anthony Arnold H-22763 1 Salinas Valley State Prison 2 P.O. Box 1050 3 Soledad, Ca. 93960-1050 5 IN THE UNITED STATES DISTRICT COURT 6 FOR THE NORTHERN DISTRICT OF CALIFORNIA 7 ANTHONY ARNOLD PLAINTIFF Complaint Under The 8 9 ٧. Civil Rights Act. 10 Title 42 U.S.C. §1983; M.S. EVANS, WARDEN. 11 GA. NEOTTI, CHIEF DEPUTY WARDEN. 12 A. HEDGPETH, CHIEF DEPUTY WARDEN. 13 D.M. MANTEL, CAPTAIN, A. FACILITY. (Defendant(s) in this action.) 15 This is a Civil action authorized by 42 U.S.C. sec. 1983 to redress the 16 deprivation, under color of state law, of rights secured by the Constitution 17 of the United States. The Court has jurisdiction under 28 U.S.C. sec. 1343. 18 Plainiff seek declaratory relief pursuant to 28 U.S.C. secs. 2201 and 2202. 19 Plaintiff Anthony Arnold, is and was at all times mentioned herein a 20 prisoner of the State of California in the custody of The California Department 21 of Corrections, and Rehabilitation. Plaintiff is currently confined in Salinas 22 Valley State Prison, in the city of Soldead California. Circumstances of concern will harm plaintiffs chances for parole, plaintiff comes up for 24 review on DECEMBER OF-25 Defendant, M.S. Evans, is the Warden of Salinas Valley State Prison. 26 He is legally responsible for the operation of prison where plaintiff is 27 |confined, and for the welfare of all the inmates of that prison.



Defendant, D.M. Mantel, is a correctional Captain of Salinas Valley State Prison. For The Department of Correction and Rehabilitation who, at all times mentioned in this complaint held the rank of Captain, conflict of administrators shifting rank to deliberately influence the adjudication:

Marked Exhibit D.1

Each defendant is sued individually and in his or her official capacity.

At all times mentioned in this complaint each defendant acted under the

Plaintiff has been subjected to continuous violations of the due process clause by way of disciplinary evidentiary rules within prison authority that resulted in a denial of fundamental fairness, reasons of this denial comes by way of numerous evidentiary errors such that the totality of circumstances which constituted a deprivation of substantive due process procedural guidelines. The Defendant, M.S. Evans, and Co-defendant D.M. Mantel, who deal directly with the unmistakably mandatory character of due process requiring that certain procedures "Shall, Wlii, or Must" be employed. This department is using it's own rules that have constrain plaintiff, on the sole ground that make plaintiff, intellectually and morally subservient to the conformists who for the majority deny plaintiff, the independence to which is entitled under due process.

Actions of administrator's intrinsic worth of every individual has deteriorated into a tradition of subjecting a defined class of criminals to a regime in which theri right to liberty is determined by officials wholly unaccountable in the exercise of their power.

DATE: 4-06-08

color of California law.

ANTHONY ARNOLD.

EXHIBIT A

STATE OF CALIFORNIA

DEPARTMENT OF CORRECTIONS AND REHABILITATION INMATE APPEALS BRANCH P. O. BOX 942883

SACRAMENTO, CA 94283-0001

DIRECTOR'S LEVEL APPEAL DECISION

Date: DEC 2 3 2007

In re: Anthony Arnold, H22763

Salinas Valley State Prison

P.O. Box 1020

Soledad, CA 93960-1020

IAB Case No.: 0709420

Local Log No.: SVSP-07-02176

This matter was reviewed on behalf of the Director of the California Department of Corrections and Rehabilitation (CDCR) by Appeals Examiner R. Floto. All submitted documentation and supporting arguments of the parties have been considered.

- I APPELLANT'S ARGUMENT: The appellant is submitting this appeal relative to CDC Form 115, Rules Violation Report (RVR), Log #A07-02-0049R, dated July 12, 2006, for Promoting Gang Activity on a S.N.Y. The appellant contends that the confidential information relied upon is erroneous. He claims that the use of the confidential information was in violation of the settlement agreement of Castillo v. Alameda. It is the appellant's position that he is not guilty of the RVR as charged. He requests dismissal of the RVR.
- II SECOND LEVEL'S DECISION: The RVR was ordered to be reissued and reheard based upon a procedural error during the original adjudication of the RVR. On June 13, 2006, an investigation into gang/disruption group activities at the institution concluded. The investigation revealed that the appellant was actively involved in "2-5" gang activities. The decision was based partially upon confidential information. The appellant was provided four CDC Form 1030, Confidential Information Disclosure Forms, disclosing as much information as possible, without identifying the sources. The CDC 1030s, identifying the appellant as being involved in the misbehavior were appropriately documented. The information from confidential sources included an evaluation of the source's reliability, a brief statement of the reason for the conclusion reached, and a statement of reason why the information or source was not disclosed. The confidential documents were reviewed, signed, and dated by managerial staff to indicate approval of the confidential designation and placement in the confidential section of the appellant's central file. The documented reliability of the sources satisfied the decision-maker that the information is true. The reviewer found that time constraints were not met in the adjudication of the RVR; therefore, no forfeiture of credit was assessed as a penalty portion of in the RVR. The appellant was afforded due process, including a fair and unbiased hearing by an impartial Senior Hearing Officer. The appellant did not meet the criteria for the assignment of an Investigative Employee to assist in the gathering of evidence. The appellant did not meet the criteria for the assignment of a Staff Assistant as there was no need for a confidential relationship; the issues are not complex; and the appellant is not illiterate and understands English. The appellant waived that witnesses be present at the hearing. The terms of the Settlement Agreements of both Castillo v. Alameida and Castillo v. Terhune were both reviewed and neither refutes this disciplinary process.

III DIRECTOR'S LEVEL DECISION: Appeal is denied.

A. FINDINGS: The appellant was afforded due process in the adjudication of the RVR, but not all procedural guidelines were met. Therefore, no forfeiture of credit was assessed in the penalty assessment of the RVR. Reports reflect that the appellant has presented no new or compelling evidence in the appeal, which would warrant a modification of the decision reached by the institution.

B. BASIS FOR THE DECISION:

California Code of Regulations, Title 15, Section: 3005, 3023, 3315, 3318, 3320, 3323

C. ORDER: No changes or modifications are required by the Institution.

ANTHONY ARNOLD, H22763 CASE NO. 0709420 PAGE 2

This decision exhausts the administrative remedy available to the appellant within CDCR.

N. GRANNIS, Chief Inmate Appeals Branch

cc: Warden, SVSP

Appeals Coordinator, SVSP

Memorandum

Date: October 4, 2006

To: Inmate ARNOLD, H22763

Salinas Valley State Prison

Subject: SECOND LEVEL APPEAL RESPONSE LOG NUMBER-SVSP-A-06-02615

ISSUE: The appellant is submitting this appeal relative to CDC Form 115, Rules Violation Report (RVR), Log # A-06-07-0028 dated 07/12/06 for "Promoting Gang Activity on a Sensitive Needs Yard." Appellant claims due process violations occurred during the hearing. Appellant claims he was provided only one CDC 1030, "Confidential Information Disclosure Form." Appellant claims he informed his assigned Investigative Employee (IE) he did not receive all the CDC 1030's. Appellant claims he informed the Senior Hearing Officer (SHO) that he did not receive all the CDC 1030's. Appellant states the SHO did not disclose all of the evidence relied upon for the finding. Appellant contends the CDC 1030's did not contain sufficient information with which to defend himself. Appellant stated CDC 1030 dated 07/13/06 identifies appellant as having a pair of lips tattooed on his body and appellant states he does not have a tattoo of a pair of lips. Appellant stated the SHO denied appellant's requested witnesses.

Appellant requests the removal of all false documentation and false charges, 128's, 114-D, 1030's to be removed from his C-File. Appellant requests to be scheduled to appear before Institution Classification Committee (ICC) and transferred to another institution with a Sensitive Needs Yard. Appellant requests no reprisals for submitting this appeal.

REGULATIONS: The rules governing this issue are:

CCR 3023 Gang Activity CCR 3315 Serious Rule Violations CCR 3320 Hearing Procedures and Time Limitations CCR 3321 Confidential Material

<u>SUMMARY OF INVESTIGATION</u>: The First Level of Review was bypassed per CCR 3084.5(b). T. Variz, Appeals Coordinator, was assigned to investigate this appeal at the Second Level of Review. All submitted documentation and supporting arguments have been considered. Additionally, a thorough examination has been conducted regarding the claim presented by the appellant and evaluated in accordance with Salinas Valley State Prison Operational Procedures (OP); the CCR; and the Departmental Operations Manual (DOM).

A review of the RVR indicates that appellant was charged with CCR 3023, for the specific act of "Promoting Gang Activity on a Sensitive Needs Yard."

The charge was classified as a Division "F" offense. The discovery date of the RVR was 07/12/06.

Appellant received his copy of the RVR on 07/25/06, which was within fifteen (15) days of the discovery.

The RVR was not referred to the Monterey County District Attorney (DA) prosecution. The RVR reflects that he attended the disciplinary hearing held on 08/06/06, and pled "Not Guilty" to the charge.

The hearing was held within thirty (30) days from the date that appellant was provided a

copy of the RVR. The Senior Hearing Officer (SHO) determined a guilty finding, and assessed thirty (30) days credit loss forfeiture.

Other time constraints related to the RVR were met; all copies of evidence were issued 24 hours prior to the hearing, and appellant was afforded all due process rights with regards to witnesses.

Appellant is not a participant in the Mental Health Services Delivery System. A Staff Assistant was not assigned per CCR 3315 (d)(2). An Investigative Employee (IE) was assigned. Review of the time constraint items reveals that all the documents were processed and issued to the appellant in the proper timeframes.

The appellant's filing and attachments have been reviewed. The appellant's numerous issues have been reviewed with regard to his claim of due process violations which he claims occurred prior to and during the hearing. The appellant is partly correct.

The California Code of Regulations (CCR) Section 3321 (b)(2) states, "Any document containing information from a confidential source shall include an evaluation of the source's reliability, a brief statement of the reason for the conclusion reached, and a statement of reason why the information or source is not disclosed." This requirement covers an RVR as well as a confidential information report and a CDC 1030. The SHO is required to explain his evaluation of each source's reliability and how he came to his judgment. In this case, the SHO did not document his evaluation of the confidential information he relied upon to render a finding of guilt. CCR Section 3084.5 (h)(2)(C) states, "Confidential information was used and the disciplinary findings did not address the reliability of the source and the validity of the information." Therefore, this appeal is partially granted in that a modification order is required to order the RVR reissued as a result of due process error.

DECISION: The appeal is Partially Granted. MODIFICATION ORDER REQUIRED

MODIFICATION ORDER: In accordance with Title 15, California Code of Regulations Section 3312(b)(1), Complex-II Chief Disciplinary Officer (CDO) is ordered to have Rule Violation Report A-06-07-0028 dated 07/12/06 retyped and reissued within fifteen (15) days of the date of this order. Please ensure the date of the rehearing order is included in the body of the Rule Violation Report, including the name of the CDO ordering the rehearing. You are ordered to assign a new Senior Hearing Officer to this matter and ensure that all procedural due process rights are afforded the inmate as provided in Title 15, Division 3. A copy of this order must be served on Inmate ARNOLD, CDC No. H22763, and documented on the Rule Violation Report. A copy of this order must remain with the reheard Rule Violation Report and is a permanent record.

The appellant is advised that this issue may be submitted for a Director's Level of Review if desired.

A. HEDGPETH

Chief Deputy Warden

Salinas Valley State Prison

NAME and NUMBER

ARNOLD

H-22763

CDC-128-B

On Sunday, July 11, 1999, I interviewed inmate ARNOLD, H-22763 and advised him that Sergeant Frates had received information about him from a confidential source. The information indicated that ARNOLD was going to be assaulted by the hispanic inmates known as the two-fives. The information received was determined to be at least second hand. Inmate ARNOLD indicated that he had no problems with anyone on the yard and that he would advise staff if he became aware of a problem. Inmate ARNOLD stated that he did not wish to be placed in Administrative Segregation.

Orig: C-file

cc: Fac. Lt.

Fac. Sgt.

CC II

Inmate

DATE July 11, 1999

INFORMATIVE

WECNER, Correctional Lieutenant
Facility B, Second Watch

GENERAL CHRONO

Document 1-2

Filed 04/08/20

Case 4:08-cv-01889-CW

	- SV/MA 00	
	DVUNCS	
	DIFAUU	
Staff Signature:	Title:	Date Completed
Division Head Approved:		Returned
Signature:	Title:	Date to Inmete:
F. If dissatisfied, explain reasons for requ	uesting a Second-Level Review, and submit to Institutio	n or Parole Region Appeals Coordinator within 15 days
receipt of response.		•
Signature:	4.00	Date Submitted:
		Dete Submitted.
G. REVIEWER'S ACTION (Complete with	hin 10 working days): Date assigned:	8/20/07 Due Dete: 2 10/
See Attached Letter	REC'D AUG 20 DELIVERED AND	16 1 0 2907 RECEIVED AUG 34, 2007
Signature:	REC'D AUG 2 0 DELIVERED AND	RECEIVED AUG 20 , 2007 Dete Completed: 9/4/07
Signature: Warden/Superintendent Signature: H. If dissatisfied, add data or reasons f	hin 10 working days): Date assigned: 24 (1) REC'D AUG 2 0 2007 DELIVERED AUG for requesting a Director's Level Review, and submit	RECEIVED AUG 2027 Date Completed: 9/9/00
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REGULATION 5 TITLE 15, DOM (DEPT. OPERATION MANUAL)

STATEMENT OF FROTS: ON WEDNESDAY, MARCH 28. 2007. APPELLANT WAS FOUND GUILTY SOLELY ON 1030'S CONFIDENTIAL DISCLOSURE FORMS AUTHORED by SOT. M. THOMAS IN WHICH HE FAILS TO EVALUATE THE RELIABILITY OF THE INFORMATION AS REQUIRED by COR IS 3321.

THE ALLEGEMENT TO "PROMOTE GANG ACTIVITY ON A SENSITIVE NEEDS YARD" IS UNFOUNDED OF CAUSE IT FAILS TO DISCLOSE ANY TIMES, DOTTES, STATEMENTS, IN GIVING APPELLANT AS MUCH INFORMATION TO DEFEND HIMSELF WITHOUT REVELLING THE SOURCE, AT STATES-IN COL IS 3321(3)(A)(B) DOM (DET. OPPORTION MORNEY) 61020.

AS MUCH INFORMATION TO DEFEND HIMSELF WITHOUT REVELING THE SOURCE, AT STATES-IN COR 15 3321(3) (A)(B) DOM. (DEPT. operation Manuel) 61020.9. ... THE REVIEWER WILL SEE THE 1030 DATED 7/13 " STATING THAT APPELLANT HAS A PATE OF LIPS TATTOD ON HIS BODY WHICH HAS PROVED TO be AN IDENTIFIED OF THOSE belonging to THE " 2-5" L SEE CONFIDENTIAL REPORT AUTHORSO BY SGT. M. THOMAS IN THE CONFIDENTIAL SECTION OF APPELLANT C-FILE APPELLANT DOES NOT HAVE AM LIPS terroo on his Body, upon degrest Fir a 7219 Body inspection to snow that APPELLANT DOES NOT HAVE LIPS HATTOO ON HIS BODY REQUEST WAS DETINED BY STAFF. AT THE HEARING APPELLANT ShowED THE SENIOR HEARING OFFICER, EVIDENCE IN UNTICH Shows THAT Appellant was A wichim to this 2-5 gang" + A DECLARATION in which shows THAT WHATE BURGOS WAS ONE OF THE INFORMANTS WAS PROVIDED FALSE INFORMATION TO SGT M. THOMAS. [SEE EXHIBIT # 8 AND 9] THE 1030'S ENCLOSED DOES not meet THE CRITERIA OF RELIABILITY PURSUANT TO OCR-15 \$ 3321 & 3084 (5)(6)(1)(1) IN -WOLATION OF V.S. CA. CONST-AMEND 14TH (EQUAL PROTECTION, CAL-CONST-ART-1 & \$ 7(9) + 15 ACCORD - PENAL CODE & 2600. 8TH AMERICAN OF BOTH STATE + U.S. GOISTHUTTED. PROTECTS Appellant From unconstitutional Action on past of prison Authorities CARRIED OUT UND 62 THE COLOR OF STATE LAW [WASHINGTON VS. LEE 263 F. SUPP 331] THERE APPEARS

to be Appellants to insure Frankers in these commitment to make Decisions that Effect appellants. Revarive oppositionity for Advantageous custopy + procoming is also without any penalogical, Justification and has a severe phycological + physical effect upon appellant 4 his family.

IN ADDITION, THIS APPEAL IS SUBMITTED UNDER THE AUTHORITY PURSUANT TO AN SUPPORT OF AN PERSUANT TO SUBPORT OF AN PERSUANT ISSUES HEREIN +

of Action to be leased at the appoint	LOPRIATE LEVEL, FEDERAL-CIVIL ACTION, -
Litigarion.	
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" 20 pages enclosed"	and the second of the second o
T L	

State of California

Department of Corrections and Rehabilitation

Memorandum

Date: August 28, 2007

To: Inmate ARNOLD, H-22763

Salinas Valley State Prison

Subject: SECOND LEVEL APPEAL RESPONSE LOG NUMBER-SVSP-A-07-02176

ISSUE:

The appellant is submitting this appeal relative to a Reissue/Rehear CDC Form 115, Rules Violation Report (RVR), Log #A07-02-0049R, dated 2/22/07 (date of order for reissue/rehear from Chief Disciplinary Officer) for "Promoting Gang Activity on a Sensitive Needs Yard."

The appellant states he was found guilty solely on confidential information (in violation of the settlement agreement of *Castillo v Alameida*). The confidential information was unreliable and false.

The appellant requests that the RVR be dismissed and an investigation to be conducted by an outside agency.

REGULATIONS:

CCR Title 15 §3315 Serious Rule Violations
CCR Title 15 §3318 Assistance to Inmates for Serious Rule Violations
CCR Title 15 §3320 Hearing Procedures and Time Limitations
CCR Title 15 §3323 Disciplinary Credit Forfeiture Schedule
Notification of the Terms of the Settlement Agreement in Castillo v. Terhune dated March 10, 2005

SUMMARY OF INVESTIGATION:

The First Level of Review was bypassed per CCR 3084.5(b). Eloy Medina, Appeals Coordinator, was assigned to investigate this appeal at the Second Level of Review. The appellant was interviewed by Eloy Medina on 8/23/07.

In accordance with the CCR §3084.5 (h) Disciplinary Appeals; the RVR and supporting documentation is reviewed for procedural or due process requirements. All submitted documentation and supporting arguments have been considered. Additionally, a thorough examination has been conducted regarding the claim presented by the appellant and evaluated in accordance with Salinas Valley State Prison Operational Procedures (OP); the CCR; and the Departmental Operations Manual (DOM).

Inmate ARNOLD, H-22763 Appeal Log Number-SVSP-A-07-02176 Paye 2 of 4

A review of the RVR indicates that the appellant was charged with CCR 3023(a), for the specific act of "Promoting Gang Activity on a Sensitive Needs Yard." The charge was classified as a Division "F" offense.

The discovery date of the RVR was 2/22/07 when the original RVR was ordered reissue/rehear by the Chief Disciplinary Officer. The appellant received his copy of the RVR on 3/6/07, which was within fifteen (15) days of the discovery. The appellant was provided with his copy of the entire RVR. The hearing was conducted on 3/28/07, which was within thirty (30) days of issuance of the RVR.

The RVR was not referred to the Monterey County District Attorney's (DA) office for possible prosecution.

The RVR reflects that the appellant pled "Not guilty" to the charges.

A Staff Assistant was not assigned due to the appellant's TABE score being above 4.0.

Appellant was not a participant in the Mental Health Services Delivery System (MHSDS). The circumstances of the RVR did not indicate that the appellant displayed any bizarre behavior that would raise concerns about his mental health.

The appellant was not assigned an Investigative Employee per CCR 3315(d)(1).

During the interview with the appellant, the appellant told the Second Level Reviewer that Correctional Lieutenant R. Martinez, the Institution Gang Investigator, could exonerate the appellant.

Lt. Martinez was interviewed by the Second Level Reviewer on August 27, 2007. Lt. Martinez stated he did not know the appellant and could not confirm/refute the charges against the appellant.

The appellant states he was found guilty solely on confidential information (in violation of the settlement agreement of *Castillo v Alameida*). The appellant attached a document titled RE: SETTLEMENT OF CASTILLO V. ALAMEIDA dated February 12, 2005 to support his argument.

The attachment was reviewed. In addition, the departmental memorandum titled Notification of the Terms of the Settlement Agreement in *Castillo v. Terhune* dated March 10, 2005, was also reviewed.

Both documents refer only to the gang validation process; neither address the disciplinary process for "promoting gang activity". Neither refute the disciplinary process outlined in the CCR or DOM.

Inmate ARNOLD, H-22763 Appeal Log Number-SVSP-A-07-02176 Page 3 of 4

The CCR outlines the use of confidential information. CCR 3321(b) states no decision shall be based upon information from a confidential source, unless other documentation corroborates information from the source, or unless the circumstances surrounding the event and the documented reliability of the source satisfies the decision maker(s) that the information is true.

During interview, the appellant stated only the Institution Gang Investigator could conduct an investigation into the allegations of "promoting gang activity".

On September 4, 2007 Institution Gang Investigator R. Martinez was interviewed by the Second Level Reviewer regarding this claim. Lt. Martinez stated the *Castillo* Settlement refers to the validation process for prison gang members. The RVR is not related to the validation process for a prison gang.

Therefore, this review indicates that all due process was met.

DECISION: The appeal is DENIED.

The appellant is advised that this issue may be submitted for a Director's Level of Review if desired.

G. A. NEOTTI

Chief Deputy Warden

Salinas Valley State Prison

EXHIBIT B

Document 1-3

Filed 04/08/2008

Page 2 of 4

RULES VIOLATION REPORT

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INCLE A GIOTH	HOW HE OF						
CDC NUMBER 13-22763	INMATE'S NAME		RELEASE/BOARD DA	~~~	INST. SVSP	HOUSING NO. A2-105	LOG NO. AU7-02-(Y)49
VIOLATED RULE NOS) C.C.R. コメンゴ(む)		SPECIFIC ACTS Promoting Game Activity o	n a S.N.Y.	LOCATI		07/12/06	1200 hours

CIRCUMSTANCES
Un Rebruary 22, 2007, RVR Log # AO6-07-0028 has been ordered Reissued/Rehear Per OCR 3312(b)(1). This disciplinary
RVR will be vacated and is ordered under new RVR Log # AO6-07-0049R, with new time constraints beginning 02/22/07,
per A. Hedgpeth, Chief Deputy Warden (C.D.W.).

On 03/16/06, you were placed in Administrative Segregation pending the completion of an investigation into your alleged involvement in promoting/participation in gang/disruption group activity on a Sensitive Need Yard (S.N.Y.) at Salines Valley State Prison (S.V.S.P.), Facility "A". (Refer to CDCR 1030 Confidential Disclosure Forms dated 03/15/06). On July 13, 2006, this investigation was completed. The investigation revealed that Immate ARCED (E-22763) was actively involved in 2-5 gang activity on Facility "A" S.N.Y. at S.V.S.P. This disruption group has been identified as planning, organizing and soliciting unlawful acts (Enforcing 2-5 Policy & Regulations) on behalf of the organization.

CONTINUED SEE CLCR 115-C

Inmate ARNOLD is not a participant in the Mental Health Services Delivery System.

REPORTING EMPLOYEE	(Typed Name and Signature)	MUL	· · · · · · · · · · · · · · · · · · ·		DATE	ASSIGNMENT		RDO'S	
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	BY: (STAFF'S SIC	GNATURE)	DATE	TIME
COPY OF CDC 115 GIVEN INMATE AFTER HEARING	 		·	

Case 4:08-cv-01889-CW

Document 1-3

Filed 04/08/2008

Page 3 of 4

DEPARTMENT OF CORRECTIONS

PAGE 2 OF 2

STATEOF	CALIFORNIA
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RULES-VIO	LATION I	REPORT	- PART C

CDC NUMBER .	C NUMBER . INMATE'S NAME		NUMBER	INSTITUTION		TODAY'S DATE	
11-22763	ARWOLD		A07-02-0049R	SVSP		02/22/07	
SUPPLEMENTAL	X CONTINUATION OF:	3 115 CIRCUMSTANG	CES HEARING	☐ IE REPORT	OTHER	₹	

According to the information gathered during the investigation, Source #1 identified Inmate ARNALD as one of the main inmates orchestrating 2-5 gang activity and enforcing policy for 2-5 on Facility "A". Source #2 also identified Inmate ARNALD attempting to recruit other Inmates on Facility "A" to join this disruptive group. Source #3 Identified Inmate ARNALD as an active 2-5 member. Based on the information obtained throughout the investigation, it was concluded that Inmate ARNALD knowingly promoted and attempted to organize 2-5 gang actively on Facility "A" S.N.Y. Inmate ARNALD'S actions actively represents a serious threat to the institutional security. Inmate ARNALD is aware of this report.

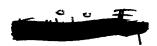
M. Thomas, Correctional Sergeant

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Page 1 of 6

EXHIBIT C



DECLARATION UNBER PENALTY OF PERJURY OF INMATE CHAMBERS C-17074 - A1-120 "IN MATE CHAMIDERS, being compETENT TO MAKE THIS DECLARATION AND HAVING PERSONAL KNOWLEGGE OF THE MATTERS STATED THEREIN, DECLARES PURSUANT TO 28 U. S.C. \$ 1746: THE FOLLOWING OVERTIONS FROM iNMATE ARNOLD#H22763

Q#1 How Long were YOU CELLED UP WITH THATE BURGOS 2 TO 4 MONTHS.

(in more Arnord was birding mar rep #)

Q# 2 WERE YOU AWARE INMATE BURGOS HELD A GRUGGE A GAINST INMATE ARNOLD FOR MOVING HIM OUT OF BUILDING #1 (ONE) ? YES.

0#3 WERE YOU AWARE INMATE BURGOS HAD STARTED RACIAL TEN-Sion Amongst Hispani'L + BLACK INMATES + THAT INMATE ARNOLD NOTIFY C/O MENDOZA + C/O ELIAS ABOT IN MATE Burgos problem? NO, I WAS NOT AWARE.

MR. CHAMBERS CON YOU PLEASE STATE ON YOR OWN WORD! WHY DID IMMATE BURGOS HELD A GRUDGE AGAINST IMMATE ARNOLD.

INMATE BURGOS TOLD ME THAT HE'S HAD IT IN FOR YOU SINCE MULE CREEK STATE PRISON.

PURSUANT tO 28 U.S.C. \$ 1746, I DECLARE UNDER PENALTY OF PERJURY THAT THE FOREgoing is true AND CORRECT. EXECUTED ON 4/24/07



	NATE NUMBER: H-227163 INMATE NAME: Arnold
	MATE HOMBEN.
1)	Use of Confidential Information.
	Information received from a confidential source(s) has been considered in the:
	a) CDC-115, Disciplinary Report dated submitted by
	STAFF NAME, TITLE
	7/12/01
	b) CDC-114-D, Order and Hearing for Placement in Segregated Housing dated 7/13/06
2)	Reliability of Source.
	The identity of the source(s) cannot be disclosed without endangering the source(s) or the security of the institution.
	This information is considered reliable because:
	a) This source has previously provided confidential information which has proven to be true.
	b) This source participated in and successfully completed a Polygraph examination.
	c) 🗹 More than one source independently provided the same information.
	d) M This source incriminated himself/herself in a criminal activity at the time of providing the information.
	e) Part of the information provided by the source(s) has already proven to be true.
	f) Other (EXPLAIN)
3)	Disclosure of information received.
-,	The information received indicated the following: Why Mary Doon (donn) feed by
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	DATE DISCLOSED
	STAFF SIGNATURE, TITLE
	DISTRIBUTION: WHITE — Central File; YELLOW - Inmate; PINK — Institution Use

INN	MATE NUMBER: H-22763 INMATE NAME: ACNOID
1)	Use of Confidential Information.
	Information received from a confidential source(s) has been considered in the:
	a) CDC-115, Disciplinary Report dated Feb 22,2007 (Ressur/Rehear) submitted by M. Thomas STAFF NAME, TITLE
	STAFF NAME, TITLE
. (b) CDC-114-D, Order and Hearing for Placement in Segregated Housing dated
2)	Reliability of Source.
-/	The identity of the source(s) cannot be disclosed without endangering the source(s) or the security of the institution.
	This information is considered reliable because:
	a) This source has previously provided confidential information which has proven to be true.
	b) This source participated in and successfully completed a Polygraph examination.
	c) More than one source independently provided the same information.
	d) This source incriminated himself/herself in a criminal activity at the time of providing the information.
	e) Part of the information provided by the source(s) has already proven to be true.
	f) Other (EXPLAIN)
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3)	Disclosure of information received.
	The information received indicated the following: ON July 13 2006 it was determined
	that you were Actively involved in 2-5 gang Activity on
	that you were Actively involved in 2-5 gang Activity in Facility "A' SNY AT SUSP. Source # 3 identified you
	As An Active 7.5 member
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1)	Type and current location of documentation, (for example: CDC-128-B of 5-15-86 in the confidential material
	folder). Continuential Mema in Confidential Motoral Folder in
	Your Central File
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مستنا	STAFF SIGNATURE, TITLE DATE DISCLOSED

DISTRIBUTION: WHITE — Central File; YELLOW - Inmate; PINK — Institution Use

INN	MATE NUMBER: H-22763 INMATE NAME: Arnold
1)	Use of Confidential Information.
	Information received from a confidential source(s) has been considered in the:
	a) CDC-115, Disciplinary Report dated Frb 22, 2007 (Recover/Reduce) submitted by
	M. Thomas
	STAFF NAME, TITLE
	b) CDC-114-D, Order and Hearing for Placement in Segregated Housing dated
2)	Reliability of Source.
	The identity of the source(s) cannot be disclosed without endangering the source(s) or the security of the institution.
	This information is considered reliable because:
	a) This source has previously provided confidential information which has proven to be true.
	b) This source participated in and successfully completed a Polygraph examination.
	c) More than one source independently provided the same information.
	d) This source incriminated himself/herself in a criminal activity at the time of providing the information.
	e) Part of the information provided by the source(s) has already proven to be true.
	f) Other (EXPLAIN)
3)	Disclosure of information received.
	The information received indicated the following: ON July 13 2006 it was Determined
	that you were Actualy invalved in 2-5 gang Activity on
	that you were Activity invisited in 2-5 gary Activity on Facility "A" S.N.Y. AT SUSP. According to the information
	gathered, Source # 2 identified you as an active 2-5
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4)	Type and current location of documentation, (for example: CDC-128-B of 5-15-86 in the confidential material folder). Confidential Memo in Confidential Material Folder
	ow your Central File
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7	STAFF SIGNATURE, TITLE DATE DISCLOSED
	DISTRIBUTION: WHITE — Central File; YELLOW - Inmate; PINK — Institution Use

INI	MATE NUMBER: H-22763 INMATE NAME: ACNOLD
1)	Use of Confidential Information.
	Information received from a confidential source(s) has been considered in the:
	a) CDC-115, Disciplinary Report dated Feb 22, 2007 (Ressaud/Rehear) submitted by
	M. Thomas
	STAFF NAME, TITLE
	b) CDC-114-D, Order and Hearing for Placement in Segregated Housing dated
21	Reliability of Source.
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	b) This source participated in and successfully completed a Polygraph examination.
	c) More than one source independently provided the same information.
	d) This source incriminated himself/herself in a criminal activity at the time of providing the information.
	e) Part of the information provided by the source(s) has already proven to be true.
	f) Other (EXPLAIN)
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3)	Disclosure of information received.
••.	The information received indicated the following: ON July 13 2006 it was Determined that
	you were Actually involved in 2-5 gang Actually on Facility 'A" & N.V.
	AT SUSP. According to the information guilhered, Source #1
	Identified you as one of THE MAIN Inmetes orchestrating 2-5
	그리고 하는 사람들이 되는 수가 하는 것이 되는 것이 되는 사람들이 되는 사람들이 되었다면 하는 수가 하는 사람들이 되었다면 하는 것이다.
	gand Activity & Enforcing policy for 2-5 on FACILITY A".
	(If additional space needed, attach another sheet.)
LA .	Type and current Jocation of documentation, (for example: CDC-128-B of 5-15-86 in the confidential material
7 7	folder). Contidential Memo in Confidential Material Folder
	IN your Central File
	U-111 3/6/07
أسر	STAFF SIGNATURE, TITLE DATE DISCLOSED
	DISTRIBUTION: WHITE — Central File; YELLOW - Inmate; PINK — Institution Use

Document 1-5

Page 2016 141/7
DEPARTMENT OF CORRECTIONS

HOLLS AIGHA	HOW REPUR	ſ	MEPD				
CDC NUMEER H-22763	INMATE'S NAME ARNOLD		RELEASE/BOARD (12/23/20		INST. SVSP	HOUSING NO. A2-105	LOG NO. AO7-O2-CO49R
VIOLATED RULE NO(S). C.C.R. \$3023(a)	· · · · · · · · · · · · · · · · · · ·	Promoting Geng Activity of	n a S.N.Y.	LOCAT		DATE 07/12/06	1200 hours

CIRCUMSTANCES On February 22, 2007, RVR Log # A06-07-0028 has been ordered Reissued/Rehear Per CCR 3312(b)(1). This disciplinary RVR will be vacated and is ordered under new RVR Log # AO6-07-0049R, with new time constraints beginning 02/22/07, per A. Hedgpeth, Chief Deputy Warden (C.D.W.).

On 03/16/06, you were placed in Administrative Segregation pending the completion of an investigation into your alleged involvement in promoting/participation in gang/disruption group activity on a Sensitive Need Yard (S.N.Y.) at Salinas Valley State Prison (S.V.S.P.), Facility "A". (Refer to CDCR 1030 Confidential Disclosure Forms dated 03/15/06). On July 13, 2006, this investigation was completed. The investigation revealed that Inmate ARNOLD (H-22763) was actively involved in 2-5 gang activity on Facility "A" S.N.Y. at S.V.S.P. This disruption group has been identified as planning, organizing and soliciting unlawful acts (Enforcing 2-5 Policy & Regulations) on behalf of the organization.

CONTINUED	SEE	CDCR	115-0	7

indane A	KWULD 18	not a	participant	in	the	Mental	Health	Service	s Delivery	System.	
	.2		-						3	- /	

REPORTING EMPLOYEE (Typed Name and Signature)	DATE	ASSIGNMENT		RDO'S	
M. Thomas, Correctional Sergeant	2/28/07	"A" Yard Ser	geant	F/S	
REVIEWING SUPERVISOR'S SIGNATURE C. CPN CHIZ, CORR. LAT DATE 3.107	DATE				
CLASSIFIED OFFENSE DIVISION: DATE CLASSIFIED BY (Typed N Serious		PARIN	HEARING REFE	RRED TO	FC
	TE BEFORE HEARING				
A07-02-0049R BY: (STAFF'S SIGNATURE)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	EMENT PREACON MEMO 30'5			
[2] INCIDENT REPORT LOG NUMBER:	TIME BY: STAFFS SI		•	16/0	TIME 1/250
FEARING RVR is a Reissued/Rehear per A. Hedgpeth Chief Der	Natura Mondon MC D 1	V) The original			122

08/06/06, at which time Inmate ARNOLD was found Guilty.

PLEA: Not Guilty

FINDUNCS: Inmate ARNOLD was found Guilty of CCR §3023(a), specifically; "Promoting Gang Activity on a Sensitive Needs Yard" a Division "F" offense. This finding is based on the preponderance of evidence presented at the hearing which does substantiate the charge. The evidence presented at the hearing included: (SEE CDCR 115-C.)

DISPOSITION: Immate ARNOLD is assessed 0 forfeiture of days due to time constraints not being met.

ADDITIONAL DISPOSITION: None.

CLASSIFICATION REFERRAL: Inmate ARNOLD is referred to UCC for program review.

REFERRED TO CLASSIFICATION BPT/NAEA	_			
ACTION BY: (TYPED NAME)	-	SIGNATURE	$\overline{}$	DATE TIME
R.A. Kessler, Correctional Lieutenant		Fale 5		3/28/07 0945
S. Hatton, Captain (A)	DATE	CHIEF; DISCIPLINARY OFFICER'S SIGNATURE (A)		21/3/07
던 COPY OF CDC 115 GIVEN INMATE AFTER HEARING	BY: (STAFF'S SIGNATUR	E)		1200 1200
CDC 115 (7/88)	0			

Document 1-5

Filed 04/08/2008 Page 3 of

DEPARTMENT OF CORRECTIONS
PAGE 20F 3

STATE OF CALIFORNIA

RULES	VIOLATIO	ON REPORT -	PART C

CDC NUMBER	INMATE'S NAME	LOG NUMBER	INSTITUTION		TODAY'S DATE
H-22763	ARWOLD	A07-02-0049R	SVSP		03/28/07
SUPPLEMENTAL	CONTINUATION OF: 115 CIRCUMS	TANCES X HEARING	☐ IE REPORT	OTHER	<u>. </u>

The modification order was written on 11/15/2006. Facility "A" received this modification order on 02/22/07, as indicated by the stamp on the modification order. The "Reissued" RVR was typed with new time constraints beginning on 02/22/07. This is an error. The new time constraints should have begun on the date the modification order was typed (11/15/07). TIME CONSTRAINIS HAVE BEEN LOST.

Hearing: 03/28/07 TIM

TIME: 0945 hours

Any Postponement Explained: None

Innate's Health: Innate ARNOLD stated his health was good. MHSDS: Innate ARNOLD is not a participant in the Mental Health Services Delivery System. The circumstances of the RVR do not indicate that Innate ARNOLD exhibited any bizarre behavior that would raise concerns about his mental health. At the hearing, Innate ARNOLD did not demonstrate any strange, bizarre, or irrational behavior. Based on this and pursuant to recent changes approved by the U.S. District Court on COLEMAN, a mental health assessment was not initiated.

Staff Assistant (SA): Inmate ARNOLD'S TABE score is above 4.0. Therefore, a Staff Assistant was not assigned per CCR \$3315(d)(2). Inmate ARNOLD explained his understanding of the charges/process/options/instructions to the Semior Hearing Officer's (SHO) satisfaction. Inmate ARNOLD read the RVR aloud and was able to demonstrate his understanding of the RVR and the disciplinary process through discussion with the SHO.

Investigative Employee (IE): An Investigative Employee was not assigned per CCR §3315(d)(1).

Date of Discovery: 03/16/06

Initial RVR copy issued on: 03/06/07

Hearing started on: 03/28/07

Last document issued to impate on: 03/06/07

D.A. postponed date: None

D.A. results issued date: None

Time Constraints: Irmate ARNALD acknowledged receipt of all reports to be used in evidence and was ready to proceed with the hearing. Copies of all reports were issued more than 24 hours prior to the hearing. The disciplinary was not served on Immate ARNALD within 15 days of discovery and the hearing has been held within 30 days. All time constraints have not been met.

D.A. Referral: None Evidence Requested or Used: None External/Outside Evidence: None. Video Tape Evidence: N/A

Innate Plea Statement: Innate ARNALD entered a plea of Not Guilty and stated, "Based on the 1030's, I cannot properly defend myself. There is not enough information on the 1030's."

Witnesses Requested or Provided: Inmate ARNCLD requested Correctional Sergeant M. Thomas the Reporting Employee, Correctional Officers O. Mendoza and E. Elias to be called as witnesses at his hearing. SHO granted this request. However, at the hearing Inmate ARNCLD had no questions for these witnesses.

Witness Testimony at Hearing: None

Confidential Information: See Confidential Information Disclosure Form dated 11/15/07, (Reissue/Rehear) authored by Correctional Sergeant M. Thomas.

CONTINUED SEE CDCR 115-C

R.A. Kessler, Correctional Lieutenant

e e e e e e e e e e e e e e e e e e e	SIGNATURE OF WRITER		DATE SIGNED
	GIVENBY: (Staff's Signature)./	DATE SIGNED	TIME SIGNED
COPY OF CDC 115-C GIVEN TO INMATE	1	4-18-07	17.0

Page 4 of 6

DEPARTMENT OF CORRECTIONS
PAGE 3 OF 3

STATE OF CALIFORNIA

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RUI ES VIOL	ATION REPORT.	PART C

CDC NUMBER	INMATE'S NAME		LOG NUM	BER	INSTITUTION		TODAY'S DATE
H-27630	ARNOLD		A07	-02-0049R	SVSP		03/28/07
SUPPLEMENTAL	X CONTINUATION OF:	115 CIRCUMST	TANCES	X HEARING	☐ IE REPORT	OTHER	₹

FINDINGS (CON'T): Inmate ARMOID is found Guilty of "Promoting Gang Activity on a Sensitive Needs Yard." This finding of Guilty is based upon the following preponderance of evidence:

A: The information contained in the RVR log# AO7-O2-O049R, authored by Correctional Sergeant M. Thomas, wherein he states in part, "On O3/16/O6, you were placed in Administrative Segregation pending the completion of an investigation into your alleged involvement in promoting/participation in gang/disruption group activity on a Sensitive Need Yard (S.N.Y.) at Salinas Valley State Prison (S.V.S.P.), Facility "A". (Refer to CDCR 1030 Confidential Disclosure Forms dated O3/15/O6). On July 13, 2006, this investigation was completed. The investigation revealed that Inmate ARNOLD (H-22763) was actively involved in 2-5 gang activity on Facility "A" S.N.Y. at S.V.S.P. This disruption group has been identified as planning, organizing and soliciting unlawful acts (Enforcing 2-5 Policy & Regulations) on behalf of the organization. According to the information gathered during the investigation, Source #1 identified Inmate ARNOLD as one of the main inmates orchestrating 2-5 gang activity and enforcing policy for 2-5 on Facility "A". Source #2 also identified Inmate ARNOLD as an active 2-5 member. Based on the information obtained throughout the investigation, it was concluded that Inmate ARNOLD knowingly promoted and attempted to organize 2-5 gang actively on Facility "A" S.N.Y. Inmate ARNOLD'S actions actively represents a serious threat to the institutional security."

B: The memorandum dated 11/15/06, are confidential per CCR §3321(a)(1) & (2) as divulging this information would endanger the safety of persons and jeopardize the security of the institution.

C: Per CCR \$3321(c)(2), the confidential source is considered reliable if another confident source has independently provided information. In this instance, as documented by the confidential file, another confidential source has provided information that is consistent with and supports the information provided by this confidential source. There is no reason to believe this consistency is the result of prior corroboration between these confidential sources so it is assumed these confidential sources are independent from one another. This is the reason that the confidential sources in the Memorandum of 11/15/06, are considered reliable. The CDCR-1030 forms list the information as providing true as additional reason.

D: The Confidential Sources in the Confidential Memorandum of 11/15/06. Source #1 Identifies Inmate ARNOLD as one of the main individuals in the 2-5, who is enforcing policy for the 2-5 on Facility "A". Source #2 Identifies Inmate ARNOLD as one of the main active 2-5 members attempting to recruit other Hispanics inmates on Facility "A". Source #3 Identifies Inmate ARNOLD recruiting Hispanics inmates on Facility "A" to become 2-5 members and that Inmate ARNOLD is one of the main inmates orchestrating the 2-5.

Conclusion: A finding of Guilty is based on the information in the RVR, and the CDCR 1000's forms providing information that Inmate ARNOLD was active as a 2-5 gang member and promoting/recruiting/orchestrating gang activity Facility "A" S.N.Y., at S.V.S.P. Based on the aforementioned, SHO finds there is sufficient evidence to substantiate the charge. Therefore, Inmate ARNOLD is found Guilty of Promoting Gang Activity on a Sensitive Needs Yard.

Enemy Concerns: Yes, Due to the information provided in the CDCR-1030's in RVR Log #A07-02-0049R. Refer to Confidential CDCR-812 located in Immate C-File.

(Appeal Rights): Inmate ARNOLD was advised of his rights to appeal and also the policy and procedure of appeal. Inmate ARNOLD was advised that he will receive a completed copy of the RVR upon final audit by the Chief Disciplinary Officer. Inmate ARNOLD has 15 days from the receipt of his final copy to file an appeal.

	R.A. Kessler, Correctional Lieutenant	
	SIGNATURE OF WRITER	DATE SIGNED
COPY OF CDC 115-C GIVEN TO INMATE	GIVEN BY: (Staffs Signature) DATE SIGNED	TIME SIGNED

CDC NUMBER	INMATE'S NAME		VIOLATED	RULE NO(S).	DATE	INSTITUTION	TEOG NO.	
H-22763 · .	ARYOLD		1	\$3023(a)	02/22/07	SVSP	A07-02-	-0049
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		POS	TPONEME	ENT OF DISCIPLINA	RY HEARING			
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DATE NOTICE OF OUTCOME	RECEIVED	DISPOSITION			5			
I REVOKE my reques	st for postponement.			INMATE'S SIGNATURE	(DATE	
TAFF ASSISTANT				STAFF ASSISTANT INMATE'S SIGNATURE	***************************************			
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C NUMBER 22763	INMATE'S NAME ARNOLD	LOG	NUMBER A07-02-0049R	INSTITUTION SVSP	li i	DDAY'S DATE
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ATTORNEY CLIENT COMMUNICATION CONFIDENTIAL

Sent via U.S. Mail

February 12, 2005

RE: SETTLEMENT OF CASTILLO V. ALAMEIDA

Dear Friend of CPF:

First, and foremost, thank you for your patience. I know all of you have been waiting anxiously for news about the settlement in *Castillo v. Alameida*, *Jr.* et al.¹, dealing with the Department's gang management policies.

The delay in getting this letter in your hands was due to an unanticipated wait for the presiding judge to sign the settlement agreement. With that final development, CPF is pleased to report on the terms of this important legal settlement resulting in major changes to CDC's gang management policies.

First, some acknowledgements are in order. The case was originally filed in 1994, thereby making it one of the longest running cases in the U.S. District Court for the Northern District of California. It was litigated by several lawyers including CPF attorney Charles Carbone, Esq.; Graham Noyles, Esq.; and Joy Kruse, Esq., of the firm Lieff Cabraser Heimann and Bernstein, LLP, who donated their attorney time on a pro bono basis. Lieff Cabraser deserves a lot of credit for its willingness to devote significant financial resources to a case that did not have much political support due to the public's misperceptions regarding SHU prisoners.

It is important to note that much of the laboring on the case was done by the Plaintiff, and SHU inmate, Steve Castillo who deserves significant credit for designing meaningful and winning claims. Steve Castillo is an excellent jailhouse lawyer who was willing to sacrifice some of his individual claims in order to achieve a favorable outcome for all SHU prisoners.

CPF also thanks the hundreds of individual SHU prisoners and their families for their efforts whether small or large in achieving this long-overdue relief for SHU prisoners. We know that many of you sent us legal documents and assisted with your ideas and input which were greatly valued.

With this appreciation in mind, here are the details of the case. The case was not a class action, although the First Amendment claims, and the relief provided for under the settlement has the same "dispositive" effect. In other words, despite not being a class action, the relief achieved through settlement is afforded to all SHU prisoners.

The case was originally brought under several legal theories, including: (1) a 14th amendment claim that SHU gang polices have a disproportionate effect on Hispanic inmates (due

The case number is C-94-2847 MJJ, filed in the U.S. District Court for the Northern District of California before the Honorable Martin J. Jenkins

to the fact that 60% of SHU prisoners are Hispanic); (2) an 8th Amendment claim that long-term SHU confinement is cruel and unusual given that this issue was never fully resolved by *Madrid v. Gomez*. This claim was litigated on an individual basis that long-term confinement as applied to Steve Castillo was cruel and unusual. (3) a 1st Amendment claim under the "overbreadth" doctrine that the gang management policies are "vague" and "overbroad" in their capacity to capture or apply to behavior or activity that is protected by the rights of freedom of association and speech. And (4) a claim that Castillo's validation was done in retaliation for his jailhouse lawyering activities.

CDC, through its attorneys, filed no less than five motions to dismiss the case. After many hard fought legal battles, the remaining claims related to the retaliatory gang validation against Castillo and the 1st Amendment "overbreadth" claims applied to all SHU prisoners. Bear in mind that, because the case was not litigated as a class action, no SHU prisoners are presently precluded or prohibited from litigating any of the dismissed claims on their own. In fact, no SHU prisoner is precluded from litigating any future claim included or excluded in Castillo's case. That's good news because any prisoner not satisfied with the outcome of the case or who desires to build upon the success of the case is free to pursue any claim which may overlap (or not) with the Castillo litigation.

By way of further background, it is necessary to understand one of the pivotal reasons settlement was pursued instead of trial. Several reasons suggested that settlement was a better option. The first and most prominent reason deals with the "overbreadth" doctrine which mandates that activity or associations that deserve the highest constitutional protection relate to what courts call "political speech." In other words, it was difficult to argue that SHU inmates deserve an absolute 1st Amendment right to communicate about ordinary events in communications that are later used in gang validations. Speech that is "political in nature" (e.g. two prisoners talking about political organizing or political discussions) is afforded greater constitutional protection. The inability to advance these stronger constitutional claims rested on the fact that most prison gang validations do not concern purely political speech — instead they deal with cultural images, or communications about the ordinary incidents of prison life.

With this in mind, here are some thoughts about what we learned about the validation process. First, we learned via deposition that "high ranking" CDC officials had a shamefully faulty understanding of the workings of their own poorly worded regulations. This weak understanding of CDC regulations by its officials was made worse by the obvious and serious consequences of the gang validation regulations, namely a minimum of six years in solitary confinement. CPF was disturbed by the varying, conflicting, and inaccurate understanding that CDC officials had of their own regulations. CDC offered as one explanation that turnover for IGI's was especially high among CDC employees. But CPF believes that CDC is ultimately responsible for not understanding its regulations which inflict enormous cruelty and unfairness on SHU prisoners.

In their abbreviated form, here are the exact types of relief from the settlement. The Department is required to amend Title 15 of the California Code of Regulations and the Department Operations Manual to reflect each of the changes set forth below:

- (1) CDC is required to find that any SHU prisoner be an "active" gang member in prior to approving a prison gang validation;
- (2) CDC is required to have an "articulable basis" (meaning something that includes reasonable facts) as to why a source item is indicative of gang activity. CDC is required to document this "articulable basis."
- (3) Gang activity is defined as any illegal, unlawful, or criminal activity done in furtherance of the goals of a prison gang:
- (4) Prior to approval of a validation, CDC is required to give the prisoner notice of the considered source items;

- (5) Prior to approval of a validation, CDC is required to ask for and record (i.e. document) an inmate's views on the considered source items and forward the inmate's views to the validation decision makers:
- (6) CDC can no longer rely on confidential informants who provide nothing more than a name of a prisoner in connection to a prison gang. Confidential informants must refer to specific acts that an inmate allegedly did in connection with a prison gang;
- (7) CDC can no longer rely on "hearsay" from confidential informants. Informants must have personal knowledge of the confidential information disclosed:
- CDC can no longer use one incident reported by several sources (confidential or otherwise) as multiple source items, and instead must count one incident, regardless of the number of sources, as one source item;
- (9) CDC must train all IGI's and LEIU staff on these new changes in a timely fashion;
- (10) CDC must consider developing a training and informational video for prisoners on gang diversion topics; and
- (11) These changes will not be "retroactive" but instead will apply to prisoners as they come up for their six years active/inactive review at which time CDC must deem the prisoner as an "active" gang members in order to affirm the validation.

The above provides a sketch of the exact terms afforded under the settlement. For a fuller explanation of each terms, CPF directs prisoners to the prison's law library where CPF will make a copy of the settlement available. CPF will also post the settlement on our web site www.prisons.org -- so your family members or loved ones can download the settlement and send it to you.

CPF anticipates that not everyone will be completely satisfied with the settlement because neither are we. If truly implemented by CDC, the settlement will, however, bring some long over-due fairness and equity into what CPF has long perceived to be an inherently unfair system that keeps people locked up indefinitely in SHU. CPF's mission remains the closing of all SHU units in California. Until that day comes, we must fight for greater fairness and justice in how CDC uses these punishment units.

In closing, CPF stands in solidarity with the overall struggle of SHU prisoners and we will not give up until your human rights are respected.

In support.

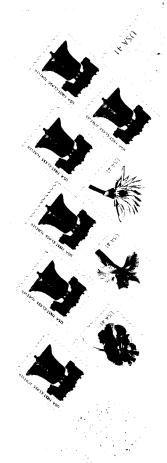
Charles Carbone, Esq. On behalf of CPF

Turn this page over for more important information

STATE OF CALIFORNIA COUNTY OF MONTEREY

(C.C.P. SEC. 466 & 2015.5; 28 U.S.C. SEC. 1746)

1, Anthony Arnold declare under penalty of perjury that: I am
the <u>Plaintiff</u> in the above entitled action; I have read the foregoing documents
and know the contents thereof and the same is true of my own knowledge, except as to matters
stated therein upon information, and belief, and as to those matters, I believe they are true.
Executed this Ob device Anold 20 20
Executed this ob day of April , 2008, at Salinas Valley State Prison, Soledad, California 93960-1050.
1 1150n, boledad, Camorina 93900-1030.
(Signature)
DECLARANT/PRISONER
PROOF OF SERVICE BY MAIL
(C.C.P. SEC 1013(a) & 2015.5; 28 U.S.C. SEC. 1746)
I Anthony Arnold
I, Anthony Arnold, am a resident of California State Prison, in the County of
Monterey, State of California; I am over the age of eighteen (18) years and am/am not a party of the above entitled action. My state prison address in P.O. Bow 1050, G. L. L. L. G. Life in 22060.
the above entitled action. My state prison address is: P.O. Box 1050, Soledad, California 93960-1050.
On MPRICOG, 2008, I served the foregoing: Complaint Under the Civi
Rights Act Title 42 U.S.C. Sec 1983
(Set forth exact title of document(s) served)
On the party(s) herein by placing a true copy(s) thereof, enclosed in sealed envelope(s), with
postage thereof fully paid, in the United States Mail, in a deposit box so provided at Salinas
Valley State Prison, Soledad, California 93960-1050.
. United States District
Court Northern District of California
280 South First Street
San Jose, CA 95113- (408) 535-5364
(List parties served)
here is delivery service by United States Mail at the place so addressed, and/or there is regular
ommunication by mail between the place of mailing and the place so addressed.
declare under penalty of perjury that the foregoing is true and correct.
ATED: 4-06,2008,
DECLARANT/PRISONER
DECEMBER 171 RECONDIC



UNITED SHATES DISTRICT
COURT NORTHERN DISTRICT OF CALIFFORNIA
280 SOUTH FIRST STREET
SAN JOSE, CA. 95113

ANTHORY ARNOLD#HARTES SAUNAS VALLEY STATE PRISON A1-108U P.O. BOX 1050

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STATE PRISON GENERATED MAIL